

Balancing and Settlement Code

BSC PROCEDURE

Corrections to Bid-Offer Acceptance Related Data

BSCP18

Version 5.0

Date : 4 November 2010

BSCP18
relating to
Corrections to Bid-Offer Acceptance Related Data

1. Reference is made to the Balancing and Settlement Code dated Code Effective Date and, in particular, to the definition of “BSC Procedure” in Section X, Annex X-1 thereof.
2. This is BSC Procedure 18, Version 5.0 relating to Corrections to Bid-Offer Acceptance Related Data.
3. This BSC Procedure is effective from 4 November 2010.
4. This BSC Procedure has been approved by the BSC Panel.

Intellectual Property Rights, Copyright and Disclaimer

The copyright and other intellectual property rights in this document are vested in ELEXON or appear with the consent of the copyright owner. These materials are made available for you for the purposes of your participation in the electricity industry. If you have an interest in the electricity industry, you may view, download, copy, distribute, modify, transmit, publish, sell or create derivative works (in whatever format) from this document or in other cases use for personal academic or other non-commercial purposes. All copyright and other proprietary notices contained in the document must be retained on any copy you make.

All other rights of the copyright owner not expressly dealt with above are reserved.

No representation, warranty or guarantee is made that the information in this document is accurate or complete. While care is taken in the collection and provision of this information, ELEXON Limited shall not be liable for any errors, omissions, misstatements or mistakes in any information or damages resulting from the use of this information or action taken in reliance on it.

AMENDMENT RECORD

Version	Date	Description of Changes	Changes Included	Mods/ Panel/ Committee Refs
1.0	30/06/04	Designated version	CP995	
2.0	BETTA Effective Date	BETTA 6.3 rebadging changes for the CVA Feb 05 Release		BETTA 6.3
3.0	02/11/05	CVA Programme November 05 Release	P172	
4.0	05/11/09	November 09 Release	CP1176 (Part) CP1283 P217 P231	ISG68/02 SVG67/02 ISG100/01 Panel 142/06 Panel 155/04
5.0	04/11/10	November 10 Release	P257	Panel

CONTENTS

1	Introduction	5
1.1	Scope and Purpose of the Procedure	5
1.2	Main Users of the Procedure and their Responsibilities	5
1.3	Use of the Procedure	5
1.4	Balancing and Settlement Code Provision	6
1.5	Associated BSC Procedures	6
1.6	Acronyms and Definitions	6
1.6.1	List of Acronyms	6
2.	Not Used	6
3.	Interface and Timetable Information	7
3.1	Identification and Agreement of Changes to Data (excluding changes arising from Emergency Instructions)	7
3.2.	Corrections of SAA databases (excluding Changes arising from Emergency Instructions)	8
3.3.	Corrections to SAA Databases arising from Emergency Instructions Pre P217 Effective Settlement Dates	9
3.4.	Corrections to SAA Databases arising from Emergency Instructions Post P217 Effective Settlement Dates	14

1 Introduction

1.1 Scope and Purpose of the Procedure

This BSC Procedure (BSCP) defines the processes that BSCCo, the Settlement Administration Agent (SAA), the Transmission Company (TC) and BSC Parties will use to input manual corrections to erroneous Final Physical Notification (FPN), Bid-Offer Data (BOD), Quiescent Physical Notification (QPN), Bid-Offer Acceptance Level (BOAL)¹ and Bid-Offer Acceptance Level Flagged (BOALF)² data (collectively referred to as the 'Bid-Offer Acceptance Related Data') within the Settlement Administration Agent (SAA) systems. All changes are submitted by the TC with agreement of the affected BSC Parties and are authorised by BSCCo.

This BSCP describes the key interfaces and timetables for inputting changes to the affected SAA systems. All corrections to the Bid-Offer Acceptance related data must have the consent of the associated BSC Parties and corrections must be applied before the Initial Settlement Run (SF). If consent is not received prior to SF then the data corrections must be raised as a Trading Dispute and progressed through the Trading Disputes process in accordance with BSCP11.

1.2 Main Users of the Procedure and their Responsibilities

The main users of this BSCP are:

- *BSCCo* – witness and authorise the correction process for each change made and confirm that any corrections made are in accordance with the changes agreed between the affected BSC Parties and the TC.
- *BSC Parties* – confirm that settlement error has occurred and agree to the proposed corrections.
- *TC* – submit corrections directly to the SAA for manual update prior to the Initial Settlement Run. After this point, all corrections must be submitted using the Trading Disputes process detailed in BSCP11.
- *SAA* – receives corrections from TC and determines the most appropriate changes to be made to the database in order to ensure that the data concerning the Bid-Offer Acceptance accurately reflects the steps taken by the affected BSC Parties.

1.3 Use of the Procedure

The remaining sections in this document are:

Section 2 – Not Used.

Section 3 - Interface and Timetable Information: this section defines in more detail the requirements of each business process.

¹ For Settlement Days before the implementation of Approved Modification P217.

² For Settlement Days on or after the implementation of Approved Modification P217.

1.4 Balancing and Settlement Code Provision

This BSCP should be read in conjunction with the BSC and in particular Sections U and W. This BSCP has been produced in accordance with the provisions of the BSC. In the event of an inconsistency between the provisions of this BSCP and the BSC, the provisions of the BSC shall prevail.

1.5 Associated BSC Procedures

BSCP01 Overview of Settlement Process

BSCP11 Trading Queries and Trading Disputes

1.6 Acronyms and Definitions

1.6.1 List of Acronyms

The terms used in this BSCP are defined as follows;

BOAL	Bid-Offer Acceptance Level
BOALF	Bid-Offer Acceptance Level Flagged
BOA	Bid-Offer Acceptance
BOD	Bid-Offer Data
BSAD	Balancing Services Adjustment Data
BSC	Balancing and Settlement Code
BSCCo	Balancing and Settlement Code Company
BSCP	Balancing and Settlement Code Procedure
FPN	Final Physical Notification
II	Interim Information Settlement Run completed 5 Working Days after the Settlement Day
QPN	Quiescent Physical Notification
SAA	Settlement Administration Agent
SD	Settlement Day
SF	Initial Settlement Run completed 16 Working Days after the Settlement Day
TC	Transmission Company
WD	Working Day

Full definitions of the above acronyms are, where appropriate, included in the BSC.

2. Not Used

3. Interface and Timetable Information

3.1 Identification and Agreement of Changes to Data (excluding changes arising from Emergency Instructions)

REF	WHEN ³	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.1.1	No less than 8 WD before SF Run.	Identify that a data correction is required to data submitted to SAA.	BSC Party or TC ⁴	TC	Details of data correction.	E-mail or fax, TC Internal reporting.
3.1.2	Within 1 WD of 3.1.1.	Review proposed data correction and determine if data correction request is valid.	TC		Proposed data correction.	Internal Process.
3.1.3	Within 2 WD of 3.1.2.	Provide details of proposed data correction and agree action to be taken.	TC	BSC Party	Proposed data correction.	Telephone contact with authorised personnel at BSC Party followed by e-mail.
3.1.4	By 15:00 hrs within 2 WD of 3.1.3.	Agreed Data Correction received by TC. Proceed to Section 3.2.1.	BSC Party	TC	Refer to Section 3.2.1.	E-mail.
3.1.5	15:00 hrs within 2 WD of 3.1.3.	No agreement reached on proposed corrections Proceed to BSCP11.	TC or BSC Party	BSCCo, TC or BSC Party as appropriate.	Refer to BSCP11.	E-mail.

³ The relevant BSC Party and TC are required to adhere to the timeframes set out above. However, in exceptional circumstances, which shall be determined by the TC e.g. where a number of data corrections are issued in close succession, these timeframes may not be practical. Where the TC has deemed that exceptional circumstances exist, the TC and the SAA shall determine and notify the BSC Party of the alternative process to be used. For the avoidance of doubt, BSC Parties are expected to contact the TC as soon as they become aware of any potential issues.

⁴ The SAA may also notify TC of any such errors.

3.2. Corrections of SAA databases (excluding Changes arising from Emergency Instructions)

REF.	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.2.1	Following 3.1.4 and 2 WD before SF Run.	Provide SAA data correction.	TC	SAA BSCCo	Receive Request for Data Change (SAA-I033).	E-mail.
3.2.2	Up to 1 WD before SF Run.	Update SAA database and confirm database updates have been implemented.	SAA	BSCCo TC	Report Confirmation of Data Change (SAA-I036).	E-mail.

3.3. Corrections to SAA Databases arising from Emergency Instructions Pre P217 Effective Settlement Dates

The process is triggered by the issue of an Emergency Instruction by the Transmission Company.

In accordance with the Grid Code and Section Q5.1.3 (b) of the BSC, Emergency Instructions issued in respect of a BM Unit shall be treated as Bid-Offer Acceptances, except Black Start processes⁵, Maximum Generation Service and Emergency De-energisation Instructions. Acceptances resulting from Emergency Instructions are known 'Emergency Acceptances'. Where the Transmission Company tags an Emergency Acceptance as being an 'Excluded Emergency Acceptance', the resulting Acceptance Volume(s) shall be included as an un-priced volume in the Energy Imbalance Price calculations. Acceptance Volumes associated with Emergency Acceptances not tagged as being 'Excluded Emergency Acceptances' shall be included in the Energy Imbalance Price calculations at the prevailing Bid/Offer prices.

REF.	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.3.1	As soon as possible after issuing Emergency Instruction	Send details of Emergency Acceptance to BMRA and BSCCo.	TC	BMRA BSCCo	Receive System Related Data (BMRA-I003) Time of Emergency Instruction Affected BM Unit (s)	Electronic. Phone/Fax/E-mail.
3.3.2	Upon receipt of information following 3.3.1	Publish notice on BMRS ⁶ .	BMRA	BMRS Users	Publish System Related Data (BMRA-I005) Time of Emergency Instruction Affected BM Unit (s)	Electronic.
3.3.3	Upon receipt of information following 3.3.1	Log details of Emergency Acceptance and allocate reference number.	BSCCo		Details of Emergency Acceptance. BSC Service Desk reference number.	Internal Process.
3.3.4	As soon as possible after 3.3.3	Provide reference number for Emergency Acceptance.	BSCCo	TC	BSC Service Desk reference number.	Phone/Fax/E-mail.

⁵ For Black Start processes refer to BSCP201 'Black Start and Fuel Security Contingency Provisions and Claims Processes'.

⁶ Notice to be published using the BMRS 'System Warning' function.

REF.	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.3.5	After 3.3.1 and where possible, at least 1 WD prior to II Run ⁷	Identify and agree Emergency Instruction related Acceptance with BSCCo and Party. Decide whether it is to be treated as an 'Excluded Emergency Acceptance'.	TC TC	BSCCo Party	Acceptance Data arising from Emergency Instruction Decision on whether the Emergency Acceptance is to be treated as an 'Excluded Emergency Acceptance'.	Phone/Fax/E-mail. Internal Process.
3.3.6	After 3.3.5 and where possible at least 1 WD prior to II Run	Send Acceptance Data and details of approach for settling Emergency Instruction to the BMRA, SAA and BSCCo.	TC	BMRA SAA BSCCo	Receive System Related Data (BMRA-I003) Receive Request for Data Change (SAA-I033) Acceptance Data arising from Emergency Instruction Specify whether the Emergency Acceptance is to be treated as an 'Excluded Emergency Acceptance'.	Electronic. Phone/Fax/E-mail.
3.3.7	Upon receipt of information after 3.3.6	Publish details of Acceptance Data to be entered into Settlement and whether it is to be treated as an 'Excluded Emergency Acceptance' ⁸ .	BMRA	BMRS Users	Publish System Related Data (BMRA-I005) Acceptance Data arising from Emergency Instruction	Electronic.
3.3.8	Upon receipt of information after 3.3.6	Acknowledge receipt of Acceptance Data and approach for settling Emergency Instruction.	BSCCo	TC	Acknowledgement of receipt	Phone/Fax/E-mail.

⁷ In exceptional circumstances, e.g. where a number of Emergency Instructions have been issued in close succession, the II run target may not be met. In such cases, the data shall be entered into Settlement in time for the Initial Settlement Run (SF Run). A Trading Dispute will need to be raised to enter such data into Settlement after the SF Run.

⁸ This data will be published using the BMRS 'System Warning' function for information and will not be used by the BMRA in its calculations.

REF.	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.3.9	Upon receipt of information after 3.3.6	Request authorisation to input post event Acceptance Data in SAA Database.	SAA	BSCCo	Report Recommended Data Change (SAA-I034)	Phone/Fax/E-mail.
3.3.10	As soon as possible after 3.3.9	Authorise input of post event Acceptance Data in SAA Database.	BSCCo	SAA	Receive Instruction for Data Change (SAA-I035) Acceptance Data arising from Emergency Instruction.	Phone/Fax/E-mail.
3.3.11	As soon as possible after 3.3.10 and where possible, prior to II run	Enter post event Acceptance Data into SAA Database and provide confirmation Database has been updated.	SAA	BSCCo TC	Acceptance Data arising from Emergency Instruction Report Confirmation of Data Change (SAA-I036).	Internal Process. Phone/Fax/E-mail.
3.3.12	After 3.3.11	Where the Emergency Acceptance is not an 'Excluded Emergency Acceptance', the process will end at this point ⁹ . Where the Emergency Acceptance is an 'Excluded Emergency Acceptance', continue at 3.3.13.				

⁹ This is because the non-Excluded Emergency Acceptance will continue to be processed at the prevailing Bid/Offer prices applicable to the relevant Settlement Periods.

REF.	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.3.13	After 3.3.11 and at least 5 WD prior to next available Settlement Run ¹⁰ (After 3.3.19 and 5 WD prior to next Settlement Run for subsequent iterations of the process)	Calculate the Energy Imbalance Prices using information from previous Settlement Run and including the Acceptance Volume(s) arising from the Emergency Instruction as un-priced volume(s).	BSCCo		Settlement Report (SAA-I014) for previous Settlement Run Acceptance Data arising from Emergency Instruction(s) to be treated as un-priced	Internal Process.
3.3.14	As soon as possible after 3.3.13	Inform SAA of the recalculated Energy Imbalance Prices.	BSCCo	SAA	Recalculated Energy Imbalance Prices Receive Excluded Emergency Acceptance Pricing Information (SAA-I038)	Phone/Fax/E-mail.
3.3.15	Before 3.3.16	Calculate amendments to BSAD required to generate recalculated Energy Imbalance Prices for the next Settlement Run ¹¹ .	SAA		Recalculated Energy Imbalance Prices Latest available BSAD	Internal Process.
3.3.16	After 3.3.15 and at least 1 WD prior to next scheduled Settlement Run ¹²	Carry out a 'dry run' of the Settlement Run and provide confirmation of whether the amended BSAD has generated the required Energy Imbalance Prices.	SAA	BSCCo	Recalculated Energy Imbalance Prices Confirmation of whether amended BSAD has generated required Energy Imbalance Prices Send Excluded Emergency Instruction Dry Run Results (SAA-I039)	Phone/Fax/E-mail.

¹⁰ For the avoidance of doubt, the II Run will have been completed by this stage of the process.

¹¹ The Energy Imbalance Prices cannot be amended in the SAA database directly and must be adjusted using amended BSAD (SAA-I014 reports will consequently show the amended BSAD). For the avoidance of doubt, this will not result in any amendments being made to the BSAD submitted by the Transmission Company.

¹² The 'dry run' can be carried out prior to this providing the latest data relating to the CDCA Aggregation Run has been loaded and is used for the 'dry run'.

REF.	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.3.17	After 3.3.16 and to meet timescales of next scheduled Settlement Run	Liaise with SAA as appropriate until it can confirm that the amended BSAD has generated the required Energy Imbalance Prices ¹³ .	BSCCo SAA	SAA BSCCo	Recalculated Energy Imbalance Prices Confirmation that amended BSAD has generated required Energy Imbalance Prices.	Phone/Fax/E-mail.
3.3.18	After 3.3.17 and to meet timescales of next scheduled Settlement Run	Following receipt of confirmation that amended BSAD has generated the required Energy Imbalance Prices, authorise SAA to use the amended BSAD in the scheduled Settlement Run.	BSCCo	SAA	Receive Authorisation to proceed with full Settlement Run (SAA-I040)	Phone/Fax/E-mail.
3.3.19	After 3.3.18 and in accordance with Settlement Calendar	Carry out scheduled Settlement Run using amended BSAD ¹⁴ .	SAA			Internal Process.
3.3.20	Prior to each subsequent scheduled Settlement Run for the affected Settlement Period(s)	Repeat steps 3.3.13 – 3.3.19.				

¹³ Where there is a difference between the required Energy Imbalance Prices and those prices calculated by the SAA, BSCCo will not authorise SAA using the amended BSAD in the scheduled Settlement Run until or unless the difference is within the tolerance of £0.05. Where this tolerance is exceeded, BSCCo and the SAA must resolve the difference prior to the scheduled Settlement Run.

¹⁴ The SAA shall ensure that the amended BSAD is not subsequently overwritten and that it is used in the scheduled Settlement Run.

3.4. Corrections to SAA Databases arising from Emergency Instructions Post P217 Effective Settlement Dates

The process is triggered by the issue of an Emergency Instruction by the Transmission Company.

In accordance with the Grid Code and Section Q5.1.3 (b) of the BSC, Emergency Instructions issued in respect of a BM Unit shall be treated as Bid-Offer Acceptances, except Black Start processes, Maximum Generation Service and Emergency De-energisation Instructions.

The Transmission Company identifies Emergency Instructions as being either:

- ‘Emergency Acceptances’; or
- ‘Emergency Flagged’ Acceptances.

BSC Annex T-1 details how Emergency Instructions are treated in the Energy Imbalance Price calculations.

REF.	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.4.1	As soon as possible after issuing Emergency Instruction	Send details of Emergency Acceptance to BMRA and BSCCo.	TC	BMRA BSCCo	Receive System Related Data (BMRA-I003) Time of Emergency Instruction Affected BM Unit (s)	Electronic. Phone/Fax/E-mail.
3.4.2	Upon receipt of information following 3.4.1	Publish notice on BMRS ⁶	BMRA	BMRS Users	Publish System Related Data (BMRA-I005) Time of Emergency Instruction Affected BM Unit (s)	Electronic.
3.4.3	Upon receipt of information following 3.4.1	Log details of Emergency Acceptance and allocate reference number.	BSCCo		Details of Emergency Acceptance. BSC Service Desk reference number.	Internal Process.
3.4.4	As soon as possible after 3.4.3	Provide reference number for Emergency Acceptance.	BSCCo	TC	BSC Service Desk reference number.	Phone/Fax/E-mail.

REF.	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.4.5	After 3.4.1 and where possible, at least 1 WD prior to II Run ⁷	Identify and agree Emergency Instruction related Acceptance with BSCCo and Party. Decide whether it is to be treated as 'Emergency Flagged'.	TC TC	BSCCo Party	Acceptance Data arising from Emergency Instruction Decision on whether the Emergency Acceptance is to be treated as 'Emergency Flagged'.	Phone/Fax/E-mail. Internal Process.
3.4.6	After 3.4.5 and where possible at least 1 WD prior to II Run	Send Acceptance Data and details of approach for settling Emergency Instruction to the BMRA, SAA and BSCCo.	TC	BMRA SAA BSCCo	Receive System Related Data (BMRA-I003) Receive Request for Data Change (SAA-I033) Acceptance Data arising from Emergency Instruction Specify whether the Emergency Acceptance is to be treated as 'Emergency Flagged'.	Electronic. Phone/Fax/E-mail.
3.4.7	Upon receipt of information after 3.4.6	Publish details of Acceptance Data to be entered into Settlement and whether it is to be treated as 'Emergency Flagged' ⁶	BMRA	BMRS Users	Publish System Related Data (BMRA-I005) Acceptance Data arising from Emergency Instruction	Electronic.
3.4.8	Upon receipt of information after 3.4.6	Acknowledge receipt of Acceptance Data and approach for settling Emergency Instruction.	BSCCo	TC	Acknowledgement of receipt	Phone/Fax/E-mail.
3.4.9	Upon receipt of information after 3.4.6	Request authorisation to input post event Acceptance Data in SAA Database.	SAA	BSCCo	Report Recommended Data Change (SAA-I034)	Phone/Fax/E-mail.

REF.	WHEN	ACTION	FROM	TO	INFORMATION REQUIRED	METHOD
3.4.10	As soon as possible after 3.4.9	Authorise input of post event Acceptance Data in SAA Database.	BSCCo	SAA	Receive Instruction for Data Change (SAA-I035) Acceptance Data arising from Emergency Instruction.	Phone/Fax/E-mail.
3.4.11	As soon as possible after 3.4.10 and where possible, prior to II run	Enter post event Acceptance Data into SAA Database and provide confirmation Database has been updated.	SAA	BSCCo TC	Acceptance Data arising from Emergency Instruction Report Confirmation of Data Change (SAA-I036).	Internal Process. Phone/Fax/E-mail.