

Technical Assurance Scope of Work for August 2008 to March 2010

Description of Check	Participants to be Checked	Reason for Check	Nature of Check	Proposed PAB Meeting at which Results will be Presented	Settlement Risk Identification Number
Post Qualification Checks	Newly Qualified Persons	Provision in BSCP535	On-site check. The scope of this check will be dependent on the determination made by the PAB during the approval of a Qualified Person's status.	Dependent on the PAB making such a request	Multiple refer to Risk Operating Plan
Confirmation of Agent Compliance	All Supplier Agents	Gap area – enables publication of Supplier Agent audit issues to their associated Suppliers.	The non-compliances reported as part of this check are those identified by the BSC Auditor during the course of his work (highlighted by the BSC Auditor within audit issue documents). As reliance will be placed on the work of the BSC Auditor agents will not be required to provide any additional information in order for the check to be completed.	September 2009	Multiple refer to Risk Operating Plan
PARMS Drill Down (Serials NM03, HM04, SP05, SP06 & SP07)	All participants who provide data to the Performance Assurance Administrator	To ensure accuracy of PARMS data submissions from participants.	A sample of PARMS data submissions relevant to key Settlement Risks will be reviewed as directed by the PAB to ensure that performance data is being calculated in accordance with the BSC.	As required after the check has been completed	Multiple refer to Risk Operating Plan
Management of Supplier Agent Appointments and Associated Notifications	Half Hourly and Non Half Hourly Suppliers	Various settlement risks are captured in this area and deploying a TA check would highlight the key areas to focus upon for rectification and support the participants to improve performance.	A targeted Technical Assurance check will be performed on the worst performing Suppliers as directed by the PAB. Performance will be assessed in the context of PARMS Serials SP05 and SP06 and relevant BSC Audit Issue Documents.	As required after the check has been completed	SR0025 SR0024 SR0001 SR0002 SR0003 SR0004 SR0005 SR0006

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Management & Maintenance of HH Meter Technical Details	Half Hourly Meter Operator	To identify why correct Meter Technical Details and any complex site supplementary information are not provided and resulting in Meter reading being misinterpreted or not collected.	A targeted Technical Assurance check will be performed on the worst performing HHMOAs as directed by the PAB. Performance will be assessed in the context of PARMS serials HM04 and HM06 and relevant BSC Audit Issue documents.	As required after the check has been completed	SR0022 SR0028 SR0175
Management & Maintenance of NHH Meter Technical Details	Non Half Hourly Meter Operator	To identify why correct Meter Technical Details are not provided and resulting in Meter reading being misinterpreted or not collected.	A targeted Technical Assurance check will be performed on the worst performing NHHMOAs as directed by the PAB. Performance will be assessed in of the context of PARMS serial NM03 and relevant BSC Audit Issue documents.	As required after the check has been completed	SR0027 SR0040 SR0174
Dispute Exit Checks	Non Half Hourly Data Collectors	One of the indicators noted in the exit guidance used by the Trading Disputes Committee (TDC) for the NHHDCs to withdraw from erroneously large EAC/AA Disputes runs agreed by the TDC.	A site check to confirm that there are appropriate controls in place to: <ul style="list-style-type: none"> Identify erroneous values, track work in progress and manage resolution Ensure creation rates of erroneous values are monitored and any issues addressed A check performed based upon the observed Supplier Energy Allocation Error within each GSP Group as recommended to the PAB by the TDC, in order to assess whether a GSP group should exit from the disputes process for Trading Disputes DA147 & DA303.	Dependent on TDC agreeing withdrawal from Dispute runs	SR0072 SR0102
Processing of Revenue Protection Reads	Non Half Hourly Data Collectors and Non Half Hourly Suppliers	To review the management of the Revenue Protection notifications to ensure values are being correctly processed into Settlement.	A targeted Technical Assurance check will be performed on a sample of NHH Suppliers and Non Half Hourly Data Collectors as directed by the PAB.	As required after the check has been completed	SR0073

Please forward any comments or questions on the scope or the TA process to Elizabeth Montgomerie at technical.assurance@exxon.co.uk or 020 7380 4224.