

Technical Assurance Scope of Work PAOP3 - April 2010 to March 2011

Description of Check	Participants to be Checked	Reason for Check	Nature of Check	Proposed PAB Meeting to Report Findings	Settlement Risk (Net Significance)
Post Qualification Checks	Newly Qualified or re-Qualified Persons.	Provision in BSCP535.	<p>This is an on site Check. The PAB may ask ELEXON to perform Post Qualification checks on the Qualified Person at the time of PAB approval.</p> <p>This is conducted once the Qualified Person has been operating for an appropriate period of time. The Scope is dependent on the purpose of the check, the role that is being performed and performance data gathered by ELEXON.</p>	Dependent on the PAB making such a request.	The check is available for deployment against all Settlement Risks where the Qualification and/or re-Qualification techniques are available.
Confirmation of Agent Compliance	All Supplier Agents.	<p>The BSC Compliance Check informs Suppliers of their agents' performance and non-compliances under the BSC (as identified by the BSC Auditor).</p> <p>It is facilitated through the Associated Supplier notification process detailed in BSCP535.</p>	This is an off site check and allows a Supplier, through receipt of its agents' results (equivalent to Audit Issues) to ensure that its agents address any non-compliances.	September 2010.	The check is available for deployment against all Supplier Agents for Settlement Risks where the BSC Audit is available.
PARMS Drill Down (Serial SP04)	NHH Suppliers and NHHDCs	To ensure accuracy of PARMS data submissions from participants.	This is an off site check on the worst performing Suppliers and NHHDCs as measured by PARMS Serials and BSC Audit Issues.	As required after the check has been completed.	SR0119 (12).

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Dispute Exit Checks	NHHDCs	The TDC uses this check as one of the indicators noted in the exit guidance used to assess GSP Groups for withdrawal from Disputes runs for Trading Disputes.	<p>This would be an on site check. The Trading Disputes Committee (TDC), subject to approval by the PAB in accordance with BSCP535, may ask ELEXON to undertake a TA check to assess the management of large Estimated Annual Consumption (EAC) and Annualised Advance (AA) values.</p> <p>The check evaluates whether the majority of Non Half Hourly Data Collectors in a GSP Group are meeting their obligations on erroneously large EAC/AAs and Gross Volume Correction.</p>	Dependent on the TDC making such a request to be approved by the PAB.	SR0072 (16) and SR0102 (8).
Management of Estimated Annual Consumption Values by UMSOs and NHHDCs	Unmetered Supplies Operators and NHHDCs.	<p>The BSC Audit for 2008-09 reported UMSO-DA discrepancies for about 3.5% of the total number of NHH UMS Metering Systems. More than 60% of these are being settled on default EACs at SF, with around half resolved by RF.</p> <p>Defaults have a greater impact for UMS than for NHH metered supplies because they are never replaced by AAs and default values aren't UMS specific.</p> <p>Although the error estimated from discrepancies in UMSO-DA EAC values has reduced it remains one of the most material Audit issues.</p>	This would be an onsite check performed on the worst performing Unmetered Supplies Operators and NHHDCS as measured by Material Error Monitoring and BSC Audit Issues.	As required after the check has been completed.	SR0086 (12), SR0088 (8) and SR0093 (12).

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LDSOs and HHMOAs maintaining records	Licensed Distribution System Operators and HHMOAs	<p>The TAM technique shows there to be an ever increasing volume of non-compliances outstanding for missing or incomplete certificates. Some date back to 1999.</p> <p>In 2008/9 57% of HH Metering System inspections had one or more missing certificate.</p> <p>Participants must store and retrieve existing and new documents in a way that supports their Code obligations.</p>	<p>The checks, offsite where possible, would review the relevant documentation of LDSOs and HHMOAs where the TAM stats indicate they are failing to provide the most certificates.</p>	As required after the check has been completed.	SR0113 (8) and SR0116 (10).
Half Hourly Meter Technical Details (MTDs) Accuracy	HHMOAs and HHDCs	<p>This proposal comes from an SVG request <u>SVG97/06</u> to check HH MTD accuracy. Additionally the BSC Audit Review and the findings from the TAM technique strongly support assurance activity on the accuracy of HH MTDs.</p>	<p>This is an off site check of a sample of Metering Systems.</p> <p>By obtaining MTDs from both the HHMOA and the HHDC and comparing the details with those obtained from dialling the metering System.</p> <p>This checks aims to gain an understanding of the extent of inaccuracy, and the fields and root causes involved.</p>	As required after the check has been completed.	SR0022 (12), SR0025 (12), SR0034 (6), SR0036 (6), and SR0028 (12).

Please forward any comments or questions on the scope or the TA process to Elizabeth Montgomerie at technical.assurance@elexon.co.uk or 020 7380 4224.