

Technical Assurance Scope of Work PAOP4 - April 2011 to March 2012

Description of Check	Participants to be Checked	Reason for Check	Nature of Check	Proposed PAB Meeting to Report Findings	Settlement Risk (Net Significance)
Post Qualification Checks	Newly Qualified or re-Qualified Persons.	Provision in BSCP535.	<p>This is an on site Check. The PAB may ask ELEXON to perform Post Qualification checks on the Qualified Person at the time of PAB approval.</p> <p>This is conducted once the Qualified Person has been operating for an appropriate period of time. The Scope is dependent on the purpose of the check, the role that is being performed and performance data gathered by ELEXON.</p>	Dependent on the PAB making such a request.	The check is available for deployment against all Settlement Risks where the Qualification and/or re-Qualification techniques are available.
Confirmation of Agent Compliance	All Supplier Agents.	<p>The BSC Compliance Check informs Suppliers of their agents' performance and non-compliances under the BSC (as identified by the BSC Auditor).</p> <p>It is facilitated through the Associated Supplier notification process detailed in BSCP535.</p>	This is an off site check and allows a Supplier, through receipt of its agents' results (equivalent to Audit Issues) to ensure that its agents address any non-compliances.	September 2011.	The check is available for deployment against all Supplier Agents for Settlement Risks where the BSC Audit is available.

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PARMS Drill Down	Varied, dependent on Serials selected for the check.	To ensure accuracy of PARMS data submissions from participants.	This is an off site check on the worst performing Suppliers and NHHDCs as measured by PARMS Serials and BSC Audit Issues. A new set of PARMS Serials are due to be introduced in July 2011 under Change Proposal 1334 'New PARMS Serials'. We propose that drill down checks be available in 2011/12, and deployed against Serials or parties if there are any concerns that submissions are not accurate	As required after the check has been completed.	Varied, dependent on Serials selected for the check.
Half Hourly Meter Technical Details (MTDs) Accuracy	HHMOAs and HHDCs	This proposal originally came from an SVG request SVG97/06 to check HH MTD accuracy. Additionally the TA Checks on the management and maintenance of MTDs, the BSC Audit Review and the findings from the TAM technique strongly support assurance activity on the accuracy of HH MTDs. ELEXON is currently undertaking an end to end process review of managing MTDs under the PAB strategy.	The nature of a check has not been decided and will depend on the work undertaken as part of the PAB strategy.	As required after the check has been completed.	SR0022 (12), SR0025 (12), SR0034 (6), SR0036 (6), and SR0028 (12).
Dispute Exit Checks	NHHDCs	The TDC uses this check as one of the indicators noted in the exit guidance used to assess GSP Groups for withdrawal from Disputes runs for Trading Disputes.	This would be an on site check. The Trading Disputes Committee (TDC), subject to approval by the PAB in accordance with BSCP535, may ask ELEXON to undertake a TA check to assess the management of large Estimated Annual Consumption (EAC) and Annualised Advance (AA) values. The check evaluates whether the majority of Non Half Hourly Data Collectors in a GSP Group are meeting their obligations on erroneously large EAC/AAs and Gross Volume Correction.	Dependent on the TDC making such a request to be approved by the PAB.	SR0072 (16) and SR0102 (8).

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Trading Disputes process for Suppliers & Supplier Agents	Suppliers & Supplier Agents	<p>Modification P258 sought to include Supplier Agents in the Trading Disputes process by allowing them to notify the BSCCo of significant errors that may have an impact on Settlement.</p> <p>The Panel rejected this modification and it was recommended that we investigate the issues the Mod sought to address through the Technical Assurance technique.</p>	<p>This would be an on and offsite check performed on a suitable sample of Suppliers and Supplier Agents, to look at the end to end process of:</p> <ol style="list-style-type: none"> 1. DCs' actions on identifying an error meeting the criteria for a dispute 2. How DCs notify their Suppliers 3. Suppliers' action on receiving notification 4. Suppliers' processes for raising a Query / Dispute, and 5. If the Query / Dispute is upheld, is the data then correctly amended, and how Suppliers / Agents control changes included in the DF run 	Spring 2012	SR0072 (16).