

4.3 CP Form

Change Proposal – BSCP40/02	CP No: CP1544 <i>Version No: 1.0</i> <i>(mandatory by BSCCo)</i>
Title (mandatory by originator) Category A BSC Signatory or Company Director sign-off required for any EFR plan following escalation.	
Description of Problem/Issue (mandatory by originator) <u>Summary:</u> Through industry engagement undertaken as part of the Performance Assurance Framework (PAF) review project , one of the issues identified with the Error and Failure Resolution (EFR) process was that EFR plans often take a considerable time to be resolved. If EFR plans could be resolved more quickly it would mitigate the material Settlement impact of the associated Error/Failure. <u>Further information and detail:</u> During industry engagement undertaken as part of the PAF review project , stakeholders highlighted that the Performance Assurance Board (PAB) and Elexon have done a good job clearing long running non-compliances and Audit Issues. However it was noted that participants often remain in EFR for far longer than one would expect given that the issues are material to Settlement. Resolving issues through EFR can take a substantial amount of time, many EFR plans take one or more years to resolve. Industry and committee feedback supported a view that this was at least in part due to operational teams not being able to secure the resource necessary to resolve non-compliances and implement enduring controls and mitigations. It was therefore proposed that part of the solution should be to facilitate additional focus on issues in EFR amongst senior level management in the hopes that they might decide to make the required resource available to resolve issues effectively.	
Proposed Solution (mandatory by originator) To update BSCP538 'Error and Failure Resolution' to add a provision requiring that following escalation to the PAB, the associated EFR plan is signed off by a Category A BSC Signatory or Company Director within the escalated Performance Assurance Party (PAP), prior to the updated EFR plan being approved. This change will increase focus on issues in EFR at a corporate level. We anticipate that the greater senior level oversight facilitated by this CP will create a greater focus on the EFR process within organisations, and thus we anticipate that EFR plans will be more effective	

<p>Justification for Change (mandatory by originator)</p> <p>Additional oversight at a senior level within the organisation within the EFR technique as part of escalation procedure was one of the agreed recommendations for the Breach, Default and EFR PAF Review. This recommendation was unanimously approved following discussion by the Performance Assurance Board. Furthermore, this change is expected to positively impact Settlement by improving the EFR process and increasing industry confidence in the Performance Assurance Technique (PAT).</p>
<p>To which section of the Code does the CP relate, and does the CP facilitate the current provisions of the Code? (mandatory by originator)</p> <p>BSC Section Z 'Performance Assurance'</p>
<p>Estimated Implementation Costs (mandatory by BSCCo)</p> <p>Under £1,000</p>
<p>BSC Configurable Items Affected by Proposed Solution(s) (mandatory by originator)</p> <p>BSCP538 'Error and Failure Resolution'</p>
<p>Impact on Core Industry Documents or System Operator-Transmission Owner Code (mandatory by originator)</p> <p>None</p>
<p>Related Changes and/or BSC Releases (mandatory by BSCCo)</p> <p>None</p>
<p>Requested Implementation Date (mandatory by originator)</p> <p>4 November 2021 as part of the November 2021 scheduled BSC Release</p>
<p>Reason:</p> <p>This change can be implemented in the next available release such that the benefits can be realised.</p>
<p>Version History (mandatory by BSCCo)</p> <p>1.0</p>
<p>Originator's Details:</p>
<p><i>BCA Name: Jason Jackson</i></p>
<p><i>Organisation: Elexon</i></p>

Email Address: jason.jackson@elexon.co.uk

Telephone Number: 020 7380 4187

Date: 2 June 2021

Attachments: **Yes**

[BSCP538 'Error and Failure Resolution'](#)