

#	PAT	KPI	Current Status (Oct 2018)	Previous Status (Jul 2018)	Previous Status (Mar 2018)	Frequency	A	Measure	Quantification	Current Status (Oct 2018)	Previous Status (Jul 2018)	Previous Status (Mar 2018)
1	Qualification	Potential risk to industry due to newly qualified parties				Quarterly	A	Number of Material (at Party or industry level) Settlement impacting issues identified in newly qualified entities during first year of live operation from first appointment.	Green: A/R criteria not met Amber: >2 and increase of >50% since last quarter Red: >3 and increase of >100% since last quarter	0	0	0
		Volume of Qualification Activity					B	Number of planning meetings/catch up meetings (to explain the process of Qualification) each quarter.	Green: >0 Red: =0	2	2	6
2	Re-qualification	Volume of Re-qualification Activity				Quarterly	A	Number of Re-qualification planning meetings.	Green: >0 Red: =0	0	2	3
3	Bulk Change of Agent	BCoA Applications completed successfully	n/a	n/a	n/a	Ad hoc	A	Number of BCoA Applications completed successfully.	For information.	0	0	0
4	Education	BSC Parties make good use of the Education PAT				Quarterly	A	Number of training sessions provided.	Green: A/R criteria not met Amber: Less than 50% of previous quarter Red: =0	22 topics in 8 sessions	32 topics in 13 sessions	19 emails over 7 sessions
		ELEXON provides enough guidance					B	Number of guidance notes created/updated.	Green: >0 Red: =0	1	2	2
5	Performance Reporting and Monitoring	PARMS and Settlement Risk reporting is delivered on time and in a consistent manner	n/a	n/a	n/a	Quarterly	A	Number of queries received/advice sought on PARMS submissions.	For information.	34	32	29
							B	Performance against all top risks reported on time and in a consistent manner.	Green: Yes Red: No	Yes	Yes	Yes
6	Technical Assurance of Metering Systems	Measure of material non-compliances identified during TAM main and specific sample site visits.				Quarterly	A	Number of Category 1 non-compliances identified.	Green: A/R criteria not met Amber: >5 and increase of >50% since last quarter Red: >5 and increase of >100% since last quarter OR if 0	10	5	10
		Parties are cooperating with the TAA effectively					B	Number no access visits.	Green: <8% of total visits Amber: 8% to 10% of total visits Red: >10% of total visits	No Access 82 Total 600	No Access 76 Total 546	No Access 69 Total 559
			C	Number of Category 1 Non-Compliances that are more than 6 months old.	Green: =0 OR fewer than previous quarter Amber: No change since previous quarter Red: Increase since previous quarter		8	9	9			
7	BSC Audit	No delays in issuing BSC Audit deliverables, e.g. Auditor's approach, Audit Scope, Auditor's opinion				Quarterly	A	All deliverables issued on time in the last quarter (no delays).	Green: Yes Red: No	Yes	Yes	Yes
8	Technical Assurance of Performance Assurance Parties	TAPAP scope of work is relevant and in line with current issues and any other information received from PAPS, other PAP techniques, the BSC Auditor, BSCCo and the PAA.		n/a	n/a	Ad hoc	A	All TAPAP scope recommendations approved.	Green: Yes Red: No	New Missing/Late Smart MTDs TAPAP Audit Scope approved ex-committee subsequent to August PAB meeting.	No scope papers presented this quarter.	No papers presented this quarter.
		TAPAP work is delivered on time. (BSCP535 timescales are met)					B	BSCP535 - Technical Assurance deadlines have been met.		Yes	Yes	Yes
		TAPAP work delivered to a good quality and standard	n/a	n/a	n/a		C	All TAPAP findings recommendations approved.		None presented so not applicable this quarter.	Findings report for the Missing MTDs TAPAP was taken to the May PAB meeting. All recommendations	None presented so not applicable this quarter.
		Positive feedback received from customers on TAPAP process.	n/a	n/a	n/a		D	Feedback survey completed by each checked PAP. Results should reflect a good customer experience as well as ELEXON standing as subject matter experts for the scope of the check.	Green: Only positive feedback Amber: Mixed feedback Red: Only negative feedback	Not yet due: No feedback request sent yet.	Not yet due: No feedback request sent yet.	Not yet due: No feedback request sent yet.
9	Peer Comparison	All Peer comparison reports are produced on time, to quality and standard				Quarterly	A	Percentage of graphs in the Peer Comparison validated and issued.	Green: =100% Red: <100%	100%	100%	100%
10	Breach and Default	Number of authorised credit defaults and Section H Defaults.				Quarterly	A	Number of authorised credit defaults	Green: =0 Red: >0	7	8	2
							B	Number of Section H Defaults	Green: =0 Red: >0	6	1	1
11	Supplier Charges	All Supplier Charges reports are produced on time, to quality and standard	n/a	n/a	n/a	Quarterly	A	Number of queries received from BSC Parties.	For information.	2	3	11
							B	Supplier Charges reported to time, quality and in a consistent manner.	Green: Yes Red: No	Yes	Yes	Yes

12	Error and Failure Resolution	EFR is switched on after agreed triggers				Quarterly	A	EFR turned on for medium and high audit issue after final audit issue documents sent to Parties or provide explanation in the TPR.	<p>Green: Yes</p> <p>Red: No</p>	Yes	Yes	Yes
					B		EFR turned on after 3 red BUSRRs or provide explanation in the TPR.	Yes		Yes	Yes	
					C		EFR turned on for all required TAA or TAPAP non-compliances.	Yes		Yes	Yes	
		All EFR plans are reviewed within BSCP538 'Error and Failure Resolution' timescales			D		EFR plans are reviewed within the required timescale.	Yes		Yes	Yes	
		ELEXON escalates issues to the PAB as agreed in the ELEXON-PAB Escalation criteria			E		ELEXON escalates on the appropriate trigger or provides rationale of why escalation is not appropriate.	Yes		Yes	Yes	
		EFR is switched off after agreed criteria is met			F		ELEXON switches off EFR in a timely manner once party completes all agreed milestones and exit criteria.	Yes		Yes	ELEXON has become aware that data used to complete AMR TAPAP EFR exit checks was not complete. ELEXON is undertaking further analysis into transfer of D0313 Auxiliary Meter Technical Details flow and will present a paper to the PAB at a future meeting.	
13	Trading Disputes	ELEXON has all the information to raise a Trading Dispute and process it on time and to a good quality and standard				Quarterly	A	Trading Disputes are rectified before an Extra-Settlement Determination is required.	<p>Green: No issues</p> <p>Amber: Issue(s) unavoidable and/or reasonable explanation.</p> <p>Red: Issue(s) could have been avoided and/or unreasonable explanation.</p>	None	DA888 - The Metered Volumes of a gas storage unit were erroneously high due to incorrect Metering System set up. Due to a nature of the error, a Complex Site Supplementary Information Form had to be completed by the Meter Operator Agent (MOA) and subsequently implemented by the Data Collector (DC). This resulted in a proportion of the affected Settlement Days falling outside of the Post Final Settlement rectification deadline. DA841 - A Supplier amended consumption data at the Post Final Settlement Run (DF)	None
							B	The instances a Party has missed the 5 WD deadline for providing all information required following a BSCP11/03 request.		None	None	None
							C	Percentage of Trading Disputes for which the TDC reach the decision without needing additional information (no deferred papers).		100%	100%	92% - 1 Trading Dispute deferred (DA887) as the TDC requested further clarification on the error's material impact on BSC Parties' Trading Charges. Dispute was subsequently upheld at the March TDC meeting.