

# HEADLINE REPORT

<b>MEETING NAME</b>	Performance Assurance Board
<b>Meeting number</b>	220
<b>Date of meeting</b>	Thursday 30 May 2019
<b>Purpose of paper</b>	Information
<b>Classification</b>	Public
<b>Synopsis</b>	This report sets out the headlines and key decisions of the most recent meeting of the Performance Assurance Board (PAB220).

## CONFIDENTIAL SESSION

### 1. Supplier Risks 7 and 8 - NHH Performance Escalation – PAB220/04

- 1.1 A Supplier was invited to attend the May 2019 PAB meeting following its failure to meet its obligations under Risk 7 and 8 (SR0074). This paper provided an update on the Supplier's performance against Risk 7 and 8 (SR0074). Representatives from the Supplier attended the meeting to present an updated EFR plan for approval.
- 1.2 The PAB:
  - a) **NOTED** the Supplier's update on its Risk 7 and 8 (SR0074) performance;
  - b) **COMMENTED** the Supplier's update and updated Risk 7 and 8 (SR0074) EFR plan; and
  - c) **DETERMINED** for the Supplier to provide regular updates on Housing Associations discussions and to accept and baseline the Risk 7 and 8 (SR0074) plan.

### 2. Supplier Risks 7 and 8 – NHH EFR Plan update – PAB220/05

- 2.1 A Supplier attended the March 2019 PAB meeting to present a revised Risk 7/8 EFR plan. The PAB raised a number of questions and concerns with the plan, and the Supplier took the opportunity to revise its plan. ELEXON presented the revised EFR plan to the PAB for approval.
- 2.2 The PAB:
  - a) **NOTED** the update on the Supplier's Risk 7 and 8 EFR plan;
  - b) **COMMENTED** on the update and the revised Risk 7 and 8 EFR plan;
  - c) **DETERMINED** to accept and baseline the Supplier's revised Risk 7 and 8 EFR plan up to the February 2019 milestone; and
  - d) **DETERMINED** to review the Supplier's performance following the February 2019 milestone

### 3. BUSRR Report – PAB220/01

- 3.1 ELEXON invited the PAB to agree the contents of the BUSRR Report.
- 3.2 The PAB:
  - a) **AGREED** the contents of the Business Unit Settlement Risk Rating Report; and
  - b) **NOTED** the Attachment.

### 4. Technique Progress Report – PAB220/02

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4.1 This paper presented information on the delivery of the Performance Assurance Techniques.

4.2 The PAB:

- a) **NOTED** the updates on the PATs provided;
- b) **AGREED** that EFR is turned off in relation to Retrieval and Processing of Metered Data (Risk 7 and 8 - Half Hourly Measurement Class C Performance) for a Supplier and that a letter is written to confirm this; and
- c) **AGREED** that EFR is turned off in relation to Retrieval and Processing of Metered Data (Risk 7 and 8 - Non Half Hourly Measurement Performance) for four Suppliers and that letters are written to confirm this; and
- d) **AGREED** that EFR is turned off in relation to addressing non-compliances identified as part of the Technical Assurance check into the root causes of MTDs for a HHMOA and a NHHMOA and that a letter is written to confirm this.

## 5. Supplier Risks 7 and 8 – HH Performance update

5.1 ELEXON provided a verbal update about the Supplier's HH performance regarding Risks 7 and 8.

5.2 The PAB:

- a) **NOTED** the Supplier's update on its Risk 7 and 8 performance;
- b) **COMMENTED** on the Supplier's update; and
- c) **DETERMINED** no further actions for the Supplier.

## 6. Supplier Charges – PAB220/03

6.1 ELEXON invited the PAB to approve the Supplier Charges for the February 2019 reporting period.

6.2 The PAB:

- a) **APPROVED** the net positions set out in the Payment Instructions for February 2019 (Attachment A); and
- b) **AUTHORISED** that the Supplier Charges Reports for February 2019 were published, which ELEXON would send to Trading Parties.

## 7. Supplier Charges Annual Review – PAB220/06

7.1 ELEXON invited the PAB to approve Supplier Charges Annual Review for April 2019 to March 2020 reporting periods.

7.2 The PAB:

- a) **APPROVED** the monthly GSP Group liability cap for each GSP Group for the period April 2019 to March 2020 as set out in paragraph 2.4;
- b) **APPROVED** the individual Supplier Charge Values for each Serial for the period April 2019 to March 2020, as set out in paragraph 2.5; and
- c) **NOTED** that ELEXON will publish the approved GSP Group liability cap for each GSP Group and Supplier Charge values for each Serial on the ELEXON website.

## 8. Supplier Risks 7 and 8 – NHH Performance update

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8.1 Representatives from a Supplier attended PAB in April 2019 to present a revised EFR plan. The PAB approved the plan and requested that a monthly OSM update be provided to monitor performance improvements towards achieving the June milestone.

8.2 The PAB:

a) **NOTED** the update.

### 9. BSC Audit Report – PAB220/07

9.1 The BSC Auditor presented the 2018/19 annual BSC Audit Report to the PAB.

9.2 The PAB:

a) **NOTED** the Draft BSC Audit Report 2018/19

### 10. Technical Assurance Audit (TAA) Report – PAB220/08

10.1 The Technical Assurance Agent (TAA) presented the TAA Annual Report 2018/19 to the PAB.

10.2 The PAB:

a) **NOTED** the TAA Report 2018/19

### 11. Annual Performance Assurance Report (APAR)– PAB220/09

11.1 ELEXON invited the Performance Assurance Board (PAB) to approve the Annual Performance Assurance Report 2018/19.

11.2 The PAB:

a) **APPROVED** the Annual Performance Assurance Report 2018/19.

### 12. Late & Missing Smart MTDs TAPAP Analysis & Actions – PAB220/10

12.1 Following the presentation of the 'Late and Missing Smart MTDs' Technical Assurance of Performance Assurance Parties (TAPAP) Audit report at the [February 2019 Performance Assurance Board \(PAB\) meeting](#); the PAB directed ELEXON to publish a [public version of the audit findings report](#) and to undertake additional analysis of related issues.

12.2 The PAB:

a) **NOTED** the findings of the additional analysis

## OPEN SESSION

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### 13. Outstanding Category 1 non-compliances – PAB220/11

13.1 This paper summarised outstanding Category 1 non-compliances identified by the Technical Assurance Agent (TAA), and proposed actions to be taken to assist with rectification.

13.2 The PAB:

a) **NOTED** the outstanding non-compliances

b) **NOTED** the proposed next steps to assist with rectification

### 14. Technique Review Recommendations – Supplier Charges – PAB220/12

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14.1 This paper detailed recommendations for changes to the Supplier Charges identified as part of the PAF Review. It sought the PAB's approval to proceed with the main review of Supplier Charges starting in August 2019.

14.2 The PAB:

- a) **APPROVED** the recommendation to proceed with the full review, based on the findings outlined in the attached report.
- b) **APPROVED** the recommendation that PAB proposes a Modification to switch off SP01 charges or set them to zero.
- c) **APPROVED** the scope and approach recommended for the second part of the review.

### 15. Technique Review Recommendations – BSC Audit – PAB220/13

15.1 This paper detailed recommendations for changes to the BSC Audit identified as part of the PAF Review. It sought the PAB's approval to implement a number of changes to the technique.

15.2 The PAB:

- a) **APPROVED** the recommendations outlined in the attached report

### 16. New Settlement Risks: Transition update – PAB220/14

16.1 This paper provided the PAB with an update on activities being undertaken by ELEXON to amend operations to reflect the new, revised Risk Register.

16.2 The PAB:

- a) **NOTED** the update provided and the transition activities detailed in Appendix 1.

### 17. Risk Evaluation Register (RER) Update – PAB220/15

17.1 The Paper detailed updates to the Risk Evaluation Register (RER) and Risk Operating Plan (ROP)

17.2 The PAB:

- a) **COMMENTED** on the updates to the RER and the ROP Documents
- b) **APPROVED** the updates to the RER and the ROP Documents

### 18. Panel Update

18.1 A Panel Sponsor for the PAB provided the PAB with an update on the most recent BSC Panel meeting 290.

18.2 The PAB:

- a) **NOTED** the Panel update.

### 19. Actions

19.1 ELEXON provided an update of the actions.

19.2 The PAB:

- a) **NOTED** the actions

### 20. Trading Dispute Update

20.1 ELEXON provided the PAB with an update on a high materiality Trading Dispute, on behalf of the Trading Disputes Committee.

20.2 The PAB:

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- a) **NOTED** the update

### 21. Minutes from previous meeting

- 21.1 The PAB approved the minutes from PAB219.

### 22. Next meeting

- 22.1 The next meeting (PAB221) will be held at the ELEXON offices on 27 June 2019.