

# TECHNICAL ASSURANCE OF PERFORMANCE ASSURANCE PARTIES (TAPAP) CHECK FOR RISK 007

<b>MEETING NAME</b>	Performance Assurance Board
<b>Date of meeting</b>	26 February 2020
<b>Paper number</b>	PAB229/07
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<b>Purpose of paper</b>	Decision
<b>Classification</b>	Public
<b>Summary</b>	ELEXON has produced a findings report following a recent Technical Assurance of Performance Assurance Parties (TAPAP) audit on Risk 007, Retrieval of Metered Data. This report (Attachment A) is public but does include a number of confidential attachments.

## 1. Summary of Technical Assurance Audit

- 1.1 Each year, the Performance Assurance Board (PAB) deploys the Performance Assurance Framework (PAF) to manage Settlement Risks. To do this, the PAB identifies, evaluates and prioritises the risks that may occur within Settlement and the extent to which they apply to each Performance Assurance Party (PAP). The PAB applies Performance Assurance Techniques (PATs) to Performance Assurance Parties (PAPs) based on the risk they pose to Settlement; this is published in the [Risk Operating Plan \(ROP\)](#).
- 1.2 For the BSC year 2019/2020, eight Risks have been identified by the PAB as being a focus of work under the PAF. Of these eight, five have been recognised as having TAPAP as a recommended technique to investigate the root cause of, and manage the impact of, these Risks. The scope of this check relates to one of this years' focus risks.

### **Risk 007, Supplier Volume Allocation (SVA) Retrieval of Metered Data (Non Half Hourly (NHH)**

- 1.3 Risk 007 is the risk that SVA Metered Data is not retrieved, such that the proportion of estimated data being used in Settlement contributes to performance standards not being met resulting in erroneous or estimated data in Settlement.
- 1.4 Suppliers are required to settle 97% of their total Non-Half Hourly (NHH) metered energy on Annualised Advances (AA) by the Final Reconciliation Run (RF). A TAPAP check was performed during 2019/20 on a selection of Parties in Error and Failure Resolution (EFR) that have highlighted retrieval (rather than processing of Metered Data) as the prime reason for under performance against the 97% standard.
- 1.5 A deep dive investigation into a sample of Metering Systems that have not had a read within 14 months was performed to determine the specific root causes and the actions that have been taken to resolve them.

## 2. Findings Report

- 2.1 The public findings report (Attachment A) includes:
  - The background, scope and approach of these TAPAP audits;
  - An executive summary including an overview of our findings;
  - The key findings and root causes identified through our audit site work; and
  - Recommended next steps, detailing the rationale behind the recommendations ELEXON is making off the back of completing these Technical Assurance audits.

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## 3. Confidential Attachments

3.1 The confidential Attachment (Confidential Attachment B) includes:

- Graph to show the industry average that has been recorded against the 97% Settlement target alongside data from the Suppliers checked;
- A table of root causes that were discovered through the check of the Suppliers unable to obtain a Meter reading;
- A list of the types of customer/site that were part of the MPAN sample in the TAPAP check; and
- Feedback that has been provided to each Party included in the TAPAP check setting out additional actions that will need to be added to current EFR plans.

## 4. Check costings

4.1 The total cost of the TAPAP including the number of hours to perform the check, travel and any other expenses was approx. £16,000.

## 5. Recommendations

5.1 We invite you to:

- a) **NOTE** and provide any comments on the findings contained in the TAPAP Public Findings Report;
- b) **NOTE** that because EFR is already active for each checked entity, the results of each individual TAPAP check have been provided to the relevant PAP for inclusion in its current EFR plan;
- c) **NOTE** further analysis will be carried out on the impact of sites that have not had a D0010 for 14 month as is being considered in the 2020/2021 PAB strategy via the Risk Operating Plan;
- d) **NOTE** the review of the [Supplier Guidance on Achieving 97%](#) which will be done to include root causes and contributing factors for why processes are not successful; and
- e) **APPROVE** the recommendation that further analysis is done in conjunction with Data Retrievers (DRs) and Non Half Hourly Data Collectors (NHHDCs), to investigate issues around the use of reason codes within the at D0004s via a Request For Information (RFI).

## Attachments

Attachment A – Public Findings Report

Attachment B – Confidential Findings

**For more information, please contact:**

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