

PAB229/10 – RECOMMENDATION TO RAISE A MODIFICATION

MEETING NAME	Performance Assurance Board
Date of Meeting	26 February 2020
Paper number	PAB229/09
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Purpose of paper	Decision
Classification	Public
Summary	A request for the PAB to recommend that the Panel raises a Modification to move the Self Assessment Document and associated appendices from BSCP537 into a new Category 3 BSC Configurable Item.

1. Issue

- 1.1 As the Self-Assessment Document (SAD) and its associated documents are currently appendices to BSCP537 'Qualification Process for SVA Parties, SVA Party Agents and CVA Meter Operators', any changes require a BSC Change Proposal (CP). This can limit the Performance Assurance Board's (PAB's) ability to efficiently ensure that it aligns with emerging risks identified by the Risk Evaluation Register (RER), Risk Operating Plan (ROP) and PAB Strategy.
- 1.2 Due to the emergence of new opportunities for market participants (for example the Trans European Replacement Reserves Exchange (TERRE) product) amongst others, there is an opportunity to make the SAD more flexible, to continue to provide assurance that participants can fulfil their BSC role and obligations.

2. Proposed Solution

- 2.1 We propose to move the SAD and associated Appendices from BSCP537 to a new Category 3 BSC Configurable Item.
- 2.2 As a Category 3 BSC Configurable Item, the document will not be subject to the CP process outlined in BSCP40 'Change Management'. Instead it will follow a bespoke amendment process.
- 2.3 The Proposed amendment process for the new Configurable Item will include a PAB review at least annually. In reviewing the document, the PAB shall consider any new information supplied by ELEXON and ensure consistency with emerging risks identified by the RER, the ROP and the PAB Strategy.
- 2.4 Whilst there will be no explicit requirement for the PAB to consult Parties when reviewing the document, it shall have the opportunity to do so if it feels appropriate.
- 2.5 As a Category 3 BSC Configurable Item, Parties will not be able to directly propose changes to the SAD. However, ELEXON actively engages with Parties during each Performance Assurance Operating Period (PAOP) through consultation and workgroups to feed industry views into the development of the RER and ROP. Changes to the RER or ROP will, if appropriate, be reflected in updates to the SAD.

3. Background

- 3.1 ELEXON's PAF Review workstream presented a report with recommendations to the PAB at its meeting on 26 September 2019 ([PAB224/11](#)), and the PAB endorsed all the recommendations. Amongst these recommendations was that the SAD (BSCP537 Appendix 1) along with its associated documents (appendices 2 and 3) be moved from the BSCP537 and placed in a new Category 3 BSC Configurable Item.

PAB229/10 – RECOMMENDATION TO RAISE A MODIFICATION

4. Next Steps

- 4.1 As [Annex X-1 'General Glossary'](#) refers to the Qualification Document as annexed to BSCP537, a Modification is required to move the SAD and its associated documents from BSCP537 and place them in a Category 3 BSC Configurable Item.
- 4.2 Pursuant to BSC Section Z8.2, the PAB may make a recommendation to the Panel that a Modification be raised in order to 'remedy any error, ambiguity, inconsistency or deficiency' which emerges as a result of the PAB functions. We believe that the inflexibility of the SAD in its current location is a deficiency which could be improved by making it a Category 3 BSC Configurable Item.
- 4.3 Subject to the PAB agreeing to recommend to the Panel that this Modification be raised, we will present it to the Panel to be raised in line with BSC Section F2.1.1(d)(vi) at the Panel's meeting on 12 March 2020.

5. Recommendations

- 5.1 We invite the PAB:
 - a) **COMMENT** on the proposal to make the BSCP537 Appendices 1, 2 and 3 a Category 3 BSC Configurable Item; and
 - b) **RECOMMEND** to the Panel that the Modification in Attachment A be raised.

Attachments

Attachment A – Modification Proposal Form

Attachment B – Proposed draft Category 3 BSC Configurable Item

For more information please contact:

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