

## Risk 11 Technical Assurance Check

### Performance Assurance Board (PAB)

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Owner/author **Beth Procter**

Purpose of paper **Decision**

Classification **Public**

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**Summary** **A Technical Assurance of Performance Assurance Parties (TAPAP) check was performed on a selection of Unmetered Supplies Operators (UMSOs) under a scope of testing for Risk 11, Unmetered Supplies. This paper presents the PAB with the collated findings from the check.**

### 1. Risk011, Unmetered Supplies Technical Assurance Check

<b>Risk No:</b>	<b>R011</b>
<b>Risk detail:</b>	The risk that Unmetered Supply volumes are calculated incorrectly or not at all resulting in erroneous or missing data in Settlement.
<b>Risk category:</b>	Data retrieval and processing

- 1.1 Each year, the PAB deploys the Performance Assurance Framework (PAF) to manage Settlement Risks. To do this, the PAB identify, evaluate and prioritise the risks that may occur within Settlement and the extent to which they apply to each Performance Assurance Party (PAP). The PAB applies Performance Assurance Techniques (PATs) to PAPs based on the risk they pose to Settlement. This is published in the Risk Operating Plan.
- 1.2 For the 2019/20 BSC Audit year, one of the risks that was identified by the PAB as being a focus of work under the PAF was subject to this Technical Assurance check. This check was originally planned to be completed in the 2019/20 Performance Assurance Operating Period (PAOP) but was delayed due to the COVID-19 pandemic lockdowns imposed by the UK government.
- 1.3 The Technical Assurance of Performance Assurance Parties (TAPAP) technique began operating again from Q4 2020, but only where Parties are able to facilitate the checks and with the consideration of prioritising BAU and the other BSC related audits that were due to take place (the Line Loss Factor Audit and Annual BSC Audit).
- 1.4 Elxon has now completed all audits that were scheduled for the Risk 11 TAPAP check. This paper presents the collated findings report (Attachment A) from the complete set of checks. A confidential attachment has been provided for the PAB which details Party specific information (Attachment B).

### 2. Impact of remote auditing for TAPAP during the pandemic

- 2.1 Elxon implemented this TAPAP as remote audits during the COVID-19 disruption. Feedback from Parties was that this approach was well received. Elxon noted specific praise that the remote audits provided flexibility that allowed Parties to accommodate their BAU priorities throughout the pandemic and the audit period.
- 2.2 A remote audit has always been an option as part of the TAPAP technique's toolkit, but site visits had been mostly used on previous checks. Elxon will continue to provide this as an option for future TAPAPs, to support our customers and provide a bespoke service for this technique.

### **3. Recommendations**

3.1 The PAB is invited to

- a) **NOTE** the findings of the check; and
- b) **APPROVE** the recommendations outlined within the Risk 11 Technical Assurance report.

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#### **Attachments**

Attachment A – Risk 11 Technical Assurance report

Attachment B – Confidential findings

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#### **For more information, please contact:**

Beth Procter, Technique Manager

[beth.procter@elexon.co.uk](mailto:beth.procter@elexon.co.uk)

020 7380 4189