

4.3 CP Form

Change Proposal – BSCP40/02	CP No: <i>Version No:</i> <i>(mandatory by BSCCo)</i>
Title (mandatory by originator) BSCP obligations and timescales for an Assurance Information Request (AIR)	
Description of Problem/Issue (mandatory by originator) <u>What is the Issue (summary)</u> Currently there is no related BSCP for an Assurance Information Request (AIR). Therefore when issuing an AIR, there is no written obligation on Parties to adhere to the request. <u>Further detail</u> The Performance Assurance Framework (PAF) is a complementary set of preventive, detective, incentive and remedial assurance techniques. These Performance Assurance Techniques (PATs) are used flexibly to address Settlement Risks. A Settlement Risk is anything that could pose a risk to the accuracy of Settlement: it could be a failure in a process or an error in data. The PATs must address risks to Settlement and the impact of actual failures or errors in Settlement. The AIR was introduced into the PAF following approval by the Performance Assurance Board (PAB) in May 2022. Currently if Elexon requires information to assist with the assessment of a Risk or to gain more information before deploying one of the Performance Assurance Techniques (PATs), a market Participant it is not obligated to provide a response. Instead Elexon can only deploy one of the more intensive techniques that hold BSCP obligations such as the Technical Assurance of Assurance Parties (TAPAP) technique to mandate this engagement. There is an inconsistency between the AIR being included in the PAF but not having a related BSCP detailing the process that Performance Assurance Parties (PAPs) and Elexon will follow.	
Proposed Solution (mandatory by originator) This Change Proposal (CP) will create a BSC Procedure document that contains obligations and timescales for PAPs to respond to an AIR. The BSCP will reflect similar procedures to that of BSCP535 ‘Technical Assurance’ but will be much less resource intensive. It will detail the following; <ul style="list-style-type: none"> • The context of technique within the PAF • How the scope of work is determined • Notification timescales for deployment of the technique • Response timescales • Required actions • Required content of responses between the Delegated Authority and the PAP • PAB reporting 	
Justification for Change (mandatory by originator)	

An AIR is distinguishable by name as an Assurance tool and part of the PAF, rather than the Requests for Information (RFI) that are provided to industry for other Elexon processes such as with CPs and Modifications.

It is to be used as a form of assessment and a detective technique to gain an understanding of how a certain process or Party operates. The PAB can decide to apply this technique to a single or sub set group of PAPs, because of performance related issues, Settlement error, information provided by its sub-committees or the Panel or to gain an understanding of a particular Settlement Risk. The received information can then be used to make further risk management decisions for further analysis, or provide support to or for the deployment of any of the other PATs.

The creation of obligations and timescales for a detective technique that facilitates a request for information will provide a more efficient way of requesting information without the need for deploying one of the more intensive techniques. This will enable the PAF to deliver assurance in a way that other techniques are currently not able to. The AIR technique can be deployed quickly and will be less resource intensive than the other detective techniques while still providing tangible results. It will complement the rest of the PAF by enabling Elexon to obtain vital information to enable further risk management assessments and determinations.

The new BSCP is required to define the process that will be followed in relation to the AIR, providing PAPs with clarity on their obligations and associated timescales.

To which section of the Code does the CP relate, and does the CP facilitate the current provisions of the Code? (mandatory by originator)

[BSC Section Z 'Performance Assurance'](#)

Estimated Implementation Costs (mandatory by BSCCo)

<£1k

BSC Configurable Items Affected by Proposed Solution(s) (mandatory by originator)

A new BSCP will be created but no existing configurable items are impacted.

Impact on Core Industry Documents or System Operator-Transmission Owner Code (mandatory by originator)

None

Related Changes and/or BSC Releases (mandatory by BSCCo)

None

Requested Implementation Date (mandatory by originator)

3 November 2022 as part of the standard November 2022 BSC Release.

Reason:

The November 2022 Release is the next available Release which can include this CP. There is not expected to be any significant system updates required by Parties.

Version History (mandatory by BSCTCo)***Originator's Details:******BCA Name:***

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30 June 2022

Attachments:

Redlining to New BSCP '605'