

270/06: Quarterly Performance Assurance Report (QPAR) Attachment A – Risk Operating Plan (ROP) for Focus Risks

Risk Operating Plan for Central Volume Allocation (CVA) Focus Risks

Risk	Ongoing Performance Assurance Technique (PAT) deployment (to be continued in 2023/24)	Additional PAT deployment to be considered for 2023/24	Additional assurance activities for 2023/24
020	Trading Disputes, Technical Assurance of Metering (TAM), BSC Audit, Error and Failure Resolution (EFR) (applied following the BSC Audit)	<ul style="list-style-type: none"> TAM Specific Sample on Metering Equipment installed or commissioned within the last 12 months 	<ul style="list-style-type: none"> The continuation of Issue Group 103, which meets on a monthly basis to review existing controls for CVA Settlement Error prevention. Continue to work through the areas identified for review by the Performance Assurance Board (PAB), Meter Registrants and Settlement Risk sub group via industry consultation with Assurance actions and BSC Changes being submitted to the PAB and Issue 103 Workgroup respectively Continue to monitor and report on the impacts of the Meter shortage via industry consultation Continue to review Gris Supply Point (GSP) change points identified by our automated monitoring, investigating potential issues and working with Registrants to explain changes in import or export at GSPs Seek to gain assurance that the Meter Outstation alarm processes are being operated effectively in both the CVA and Supplier Volume Allocation (SVA) markets via the application of various PATs in respect of PAP processes and via contract management in
021	Trading Disputes, BSC Audit, EFR (applied following the BSC Audit)	<ul style="list-style-type: none"> Assurance Information Request (AIR) and / or revisions to BSC Audit work papers aimed at understanding the provisions that Registrants have in place to validate Settlement data, resulting in: <ul style="list-style-type: none"> Technical Assurance of Performance Assurance Parties (TAPAP), Education and / or change to add BSC requirements for Registrants to validate data 	
022	Trading Disputes, TAM, BSC Audit, EFR (applied following the BSC Audit)	<ul style="list-style-type: none"> AIR and / or revisions to BSC Audit work papers aimed at understanding the provisions that Registrants have in place to be notified of work being carried out by a third party or where they don't own the site, resulting in: <ul style="list-style-type: none"> TAPAP, Education and / or change to add BSC requirements regarding the notification of work being carried out on site. 	

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023	Trading Disputes, BSC Audit, EFR (applied following the BSC Audit)	<ul style="list-style-type: none"> AIR and / or revisions to BSC Audit work papers aimed at understanding the provisions that Registrants have in place identify and report faults, resulting in: <ul style="list-style-type: none"> - TAPAP, Education and / or change to add BSC requirements for Registrants to identify and report faults 	<p>respect of Central Data Collection Agent (CDCA) processes</p> <ul style="list-style-type: none"> Continue to develop monitoring and reporting to provide greater insight into CVA performance and with a view to identifying potential errors earlier
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Risk Operating Plan for SVA High Focus Risks

Risk	Ongoing PAT deployment (to be continued in 2023/24)	Additional PAT deployment to be considered for 2023/24	Additional assurance activities for 2023/24
003	BSC Audit, EFR (applied following the BSC Audit), Trading Disputes, Technical Assurance of Metering (TAM)	Elexon will review data from the PATs that are currently being deployed before recommending further PAT deployment	<ul style="list-style-type: none"> Granular root cause analysis of Category 1 non-compliances identified by the TAA and BSC audit issues. For a complete view of performance against this Settlement Risk, cross-code engagement will be required to obtain performance data of SVA Metering Equipment Managers (MEMs). Monthly monitoring using PATs outputs and the risk dashboard information in order to monitor performance against this risk. Cross-code engagement will be required for remediation of issues that are recorded. Continuation of Issue Group 99¹.
007	PARMS, EFR (applied for performance)	Peer comparison – Risk 007 performance data will be publish following the approval of Modification P427	<ul style="list-style-type: none"> Quarterly performance threshold reviews. Monthly engagement with Parties that have the lowest performance to understand and help to resolve issues. EFR exceptions to be reported to the PAB on a monthly basis. Continue to monitor and report on the impacts of the Meter shortage via industry consultation
008	Material Error Monitoring (MEM), BSC Audit	EFR	<ul style="list-style-type: none"> Monthly monitoring and focused communication with Suppliers to resolve instances of erroneously large Estimated Annual Consumption/Annualised Advances (EAC/AAs).

¹ Review the use of the D0215 flow and its associated processes

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			<ul style="list-style-type: none"> • Collaboration between the Risk Owner, Material Error Monitoring (MEM) Technique Owner and EFR Technique Owner to agree an EFR deployment processes for Suppliers that are in breach of their materiality threshold. • EFR will only be deployed against Suppliers where they continue to be in breach of the materiality threshold following six months of consistent communication from Elexon.
017	BSC Audit	EFR	<ul style="list-style-type: none"> • Monthly monitoring using risk dashboard information. • Monitor the results of the BSC Audit. • Recommend deployment of the EFR technique should outstanding BSC Audit issues not be rectified.

SVA Medium Focus Risks

Risk	Ongoing PAT deployment (to be continued in 2023/24)	Additional PAT deployment for 2023/24	Additional assurance activities for 2023/24
005	BSC Audit, EFR, Trading Disputes, TAM	Review the outcome of the 2022/23 AIR for DCs on outstation alarms to determine whether additional PATs are required.	<ul style="list-style-type: none"> • Regular Risk monitoring using available data • Meter shortage monitoring • Cross-code engagement
016	MEM, BSC Audit	None – the existing PAT deployment is to be continued	<ul style="list-style-type: none"> • Increase the frequency of MEM reporting (from quarterly to monthly) and review results to better understand the impact of the Settlement Risk
018	Trading Disputes, BSC Audit	None – the existing PAT deployment is to be continued	<ul style="list-style-type: none"> • Engage with the Retail Energy Code (REC) and attend Revenue Protection Association (RPA) • Review the current error identification and correction method within Settlement