

4.3 CP Form

Change Proposal – BSCP40/02	CP No: CP1511 <i>Version No: 1.0</i> <i>(mandatory by BSCCo)</i>
Title (mandatory by originator) Clarification of BSCP40 definitions and processes	
Description of Problem/Issue (mandatory by originator) <p>One of the objectives of the Balancing and Settlement Code (BSC) Change process is to maintain the integrity and robustness of the BSC and its subsidiary documents, including Code Subsidiary Documents (CSDs). It is therefore important that all Change Proposals (CPs) are introduced in a controlled and auditable manner.</p> <p>Currently there are a number of critical definitions within BSCP40 which can be clarified. Further the relationship between the BSC Baseline Statement and Configurable Items does not align with the existing arrangements. It is not currently clear how new items should be categorised or what to include on the Baseline Statement.</p> <p>While BSCP40 gives examples of Category 1 and 2 Configurable Items, and sets out the different process requirements for each, it does not clearly explain how and why a document is determined to be either category.</p> <p>In practice the difference between Category 1 and 2 Configurable Items is in how the documents are treated within the CP process.</p> <p>Where a CP is raised that impacts Category 1 Configurable Items, redlined drafting of the proposed changes to the documents must be included with the CP.</p> <p>This is because Category 1 Configurable Items impose obligations on BSC Parties and/or Party Agents. As such it is important that industry is consulted on the redlined changes to these documents, so that the responses can be considered by the Panel or the relevant Panel Committee in making its decision to approve or reject the change.</p> <p>Category 2 Configurable Items do not place direct obligations on Parties or Party Agents. Therefore the BSC Panel do not believe that redlined drafting needs to be provided for industry review until after the change has been approved, but prior to the change going live (during the implementation of the CP)</p>	
Proposed Solution (mandatory by originator) <p>This CP seeks to implement a number of clarification and improvement changes relating to the BSC Baseline Statement and Configurable Items. These changes include clarifying the definitions of Baseline, Baseline Statement, and Configurable Item. It will also formalise the categorisation of Configurable Items, including the introduction of a new category; Category 3 Configurable Item.</p> <p>This proposed solution is as follows:</p> <p>Document restructure To improve the readability of BSCP40, BSCP section 1.1 ‘Purpose and Scope’ has been</p>	

reorganised and a number of sub-headers have been included. A number of Housekeeping Changes¹ have also been identified throughout the BSCP.

Introduction of Baseline Statement definition

There is no formal definition of 'Baseline Statement' in the list of definitions in BSCP40 Section 2.2. However Section 1.1 of BSCP40 states:

'The Baseline Statement is a list of BSC Sections and all Configurable Items, together with the current live version.'

Therefore this CP proposes to introduce the following definition of Baseline Statement:

1.1.7 BSC Baseline Statement

The BSC Baseline Statement is a list of each BSC Section and BSC Configurable Item, showing:

- *the master version;*
- *the effective date of the master version;*
- *the Modification Proposals and / or Change Proposals implemented since the previous master version;*
- *the "Responsible Committee(s)" for the approval of changes to it; and*
- *the categorisation of BSC Configurable Item.*

A new version of the BSC Baseline Statement will be produced when new versions of one or more BSC Sections and / or BSC Configurable Items become effective.

This definition will also be referenced in BSCP40 Section 2.2 'List of Definition'

Amendment to Baseline definitions

The definition of 'Baseline' listed within BSCP40 Section 2.2 'List of Definition' does not describe the role of the Baseline. Instead, the description better reflects the use of the Baseline Statement.

Therefore this CP proposes to introduce the following definition of Baseline to BSCP40 Section 2.2 'List of Definition', to reference its function as the live version of the BSC and Configurable Items:

the master version of each BSC Section and BSC Configurable Item.

Amendment to Configurable Items definition

BSCP40 currently defines the term 'Configurable Item' as:

¹ BSCP40 defines Housekeeping Changes as 'Housekeeping Change as 'the correction of manifest errors, minor errors and inconsistencies, including typographical errors (e.g. punctuation errors, spelling mistakes, incorrect font, incorrect capitalisation) incorrect cross-referencing, and the removal of redundant text'.

'all subsidiary documents to the BSC and any other product as agreed by BSCCo² to be changed in accordance with this procedure.'

This definition does not reflect operational practice, as Configurable Items are agreed by the BSC Panel, not the Balancing and Settlement Code Company (BSCCo). As such, this CP proposes to introduce the following definition of Configurable Item to BSCP40 Section 2.2 'List of Definition' as part of this CP:

'all Code Subsidiary Documents, plus other documents and systems, as agreed by the BSC Panel to be changed in accordance with this procedure, and included in the BSC Baseline Statement.'

Amendment to Category 1 and 2 Configurable Items definitions

BSCP40 lists examples of Category 1 and Category 2 Configurable Items, however does not state how the Configurable Items have been categorised. This CP proposes to clarify the rationale for how Configurable Items are categorised; and subsequently remove the list of specific Category 1 and Category 2 Configurable Items from BSCP40.

The new definition for Category 1 BSC Configurable Item will be:

a BSC Configurable Item that imposes obligations on BSC Parties and/or Party Agents, as such BSC Panel believe redline drafting of the proposed changes to the BSC Configurable Item must be included with the Change Proposal.

The new definition for Category 2 BSC Configurable Item will be:

a BSC Configurable Item that does not impose obligations on BSC Parties and/or Party Agents, as such the BSC Panel do not believe redline drafting of the proposed changes to the BSC Configurable Item must be included with the Change Proposal.

Introduction of Category 3 Configurable Items definition

There has also been an increase in the number of new configurable items being produced, which are not amended by Modifications or CPs. As such, this CP proposes to introduce a new category of Configurable Items, Category 3 BSC Configurable Items, to identify these documents as having their own change process.

A clarification will be made to the Market Index Definition Statement (MIDS) change process in BSCP40, to remove the reference to being able to raise Change Proposals against the MIDS.

Consequential changes following Configurable Item categorisation

As a consequence of applying the above definitions of Category 1, 2 and 3 Configurable Items, there will be changes to some existing documents and systems on the Baseline Statement

The Code Subsidiary Documents Architectural Principles will have its category amended to Category 2 Configurable Item. This is consistent with the change process previously used to amend the document.

The Code Subsidiary Documents Architectural Principles will also be amended as part of

² Balancing and Settlement Code Company (ELEXON).

this CP, to ensure it is consistent with the proposed changes. As a Category 2 Configurable Item, the redlining for the Code Subsidiary Documents Architectural Principles will be consulted on as part of the implementation of this CP.

The following existing documents will have their category amended to Category 3 Configurable item:

- Loss of Load Probability Calculation Statement
- Market Index Definition Statement.

Further, the SVAA BSC Agent software and related documents will be removed from the BSC Baseline Statement, as these documents do not require consultation with industry. This is beneficial as there are currently documents listed on the Baseline Statement that are not used by, or required by BSC Parties or Party Agents in any way to be able to perform their duties under the BSC.

These documents and systems are as follows:

- SVAA³ (ISRA) Software
- SVAA (ISRA⁴) Conceptual Process Model
- SVAA (ISRA) Functional Definition & User Catalogue
- SVAA (ISRA) Installation Guide
- SVAA (ISRA) Logical Data Design
- SVAA (ISRA) Operations Guide
- SVAA (ISRA) System Management Guide
- SVAA (ISRA) Technical Specification

This is consistent with the treatment of CVA BSC Agent software and related documents, which are not included in the BSC Baseline Statement. This will improve the consistency of document categorisation on the Baseline Statement.

For the avoidance of doubt, no other Configurable Items will be affected by the solution proposed by this CP and will therefore remain categorised as per their current status. These documents will continue to be maintained and amended through internal BSCCo controlled change processes. This will also improve the consistency of documents on the Baseline Statement.

Amendment to Project definition

BSCP40 defines the term 'Project' as:

'discrete set of activities which will implement changes and/or correct errors by amending one or more of the Configurable Items.'

This definition does not reflect operational practice, as the implementation of changes is completed through BSC Releases. As such, this CP proposes to amend the term 'Project' to 'BSC Release'.

Justification for Change (mandatory by originator)

³ Supplier Volume Allocation Agent.

⁴ Initial Settlement and Reconciliation Agency.

This CP will ensure BSCP40 is clear, unambiguous and reflects current operational practice.

The changes proposed will clarify whether a new BSC Configurable Item should be categorised as a Category 1, 2 or 3. The changes will also clarify that the BSC Panel decides which category a new item should be and who should be responsible for that item (the Panel or a Panel sub-Committee).

The consequential removal of the SVAA documents will improve the consistency of documents on the Baseline Statement, as these documents do not requires consultation with industry.

To which section of the Code does the CP relate, and does the CP facilitate the current provisions of the Code? (mandatory by originator)

BSC Section F 'Modification Procedures'

Estimated Implementation Costs (mandatory by BSCCo)

The central implementation costs will be approximately £600 of implementation effort for the document only changes.

Configurable Items Affected by Proposed Solution(s) (mandatory by originator)

BSCP40 would be amended to include the new process for categorising new Configurable Items and to clarify existing processes.

The Code Subsidiary Documents Architectural Principles will be re-categorised as Category 2 Configurable Items. The Code Subsidiary Documents Architectural Principles will also been amended as part of this CP, to ensure it is consistent with the proposed changes.

This following documents will be re-categorised as Category 3 Configurable Items:

- Loss of Load Probability Calculation Statement
- Market Index Definition Statement

The following Configurable Items would be removed from the BSC Baseline Statement, but would not be amended:

- SVAA (ISRA) Software
- SVAA (ISRA) Conceptual Process Model;
- SVAA (ISRA) Functional Definition & User Catalogue;
- SVAA (ISRA) Installation Guide;
- SVAA (ISRA) Logical Data Design;
- SVAA (ISRA) Operations Guide;
- SVAA (ISRA) System Management Guide; and
- SVAA (ISRA) Technical Specification.

Impact on Core Industry Documents or System Operator-Transmission Owner Code (mandatory by originator)

None

Related Changes and/or Projects (mandatory by BSCCo)

None

Requested Implementation Date (mandatory by originator)
29 March 2019, as part of a standalone BSC Release
Reason:
This is the next available Release to include this CP.
Version History (mandatory by BSCCo)
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Attachments: Y – BSCP40 draft redlining
(No. of Pages attached: 51)