

Risk 11 Technical Assurance Check

Supplier Volume Allocation Group

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Summary **A Technical Assurance of Performance Assurance Parties (TAPAP) check was performed on a selection of Unmetered Supplies Operators (UMSOs) under a scope of testing for Risk 11, Unmetered Supplies. This paper presents these findings along with comments from the Performance Assurance Board (PAB) and an update from discussions at the Unmetered Supplies User Group (UMSUG).**

1. Risk 11, Unmetered Supplies Technical Assurance of Performance Assurance Parties (TAPAP) Check

Risk No:	R011
Risk detail:	The risk that Unmetered Supply volumes are calculated incorrectly or not at all resulting in erroneous or missing data in Settlement.
Risk category:	Data retrieval and processing

- 1.1 Each year, the Performance Assurance Board (PAB) deploys the Performance Assurance Framework (PAF) to manage Settlement Risks. To do this, the PAB identifies, evaluates and prioritises the risks that may occur within Settlement and the extent to which they apply to each Performance Assurance Party (PAP). The PAB applies Performance Assurance Techniques (PATs) to PAPs based on the risk they pose to Settlement. This is published in the Risk Operating Plan (ROP)¹. For the 2019/20 BSC year, the PAB determined that Risk 11 should be subject to a Technical Assurance check.
- 1.2 This check was originally planned to be completed in the 2019/20 Performance Assurance Operating Period (PAOP) but was delayed due to the COVID-19 pandemic lockdowns. The TAPAP technique began operating again from Q4 2020, but only where Parties were able to facilitate the checks (recognising the need to prioritise BAU and acknowledging the Line Loss Factor Audit and Annual BSC Audit were also scheduled within a similar timeframe).
- 1.3 Elexon has now completed all audits that were scheduled for the Risk 11 TAPAP check. The collated findings (Attachment A) were presented to the PAB at its August 2021 meeting ([PAB247/04](#)) and the UMSUG at its September 2021 meeting ([UMSUG133](#)). This paper presents these findings (see attachment 1) along with comments from the PAB, an update from the discussion at the UMSUG, and any additional actions or recommendations.

2. Report recommendations and PAB comments

- 2.1 The PAB noted the findings and approved the following recommendations from the report:
 1. Subject specific documents and the Elexon website will be reviewed in line with the findings and feedback received from the checked Parties about the process;

¹ The ROP can be found on the Elexon website along with other [Performance Assurance Framework Documents](#).

2. Creation of a contact list specifically for Unmetered Supplies (UMS) for UMSOs, Suppliers and Data Collectors (DCs) to help with error resolution D0310s² and Material Error Monitoring (MEM) report exception resolution;
 3. Elexon will investigate how Supplier membership at UMSUG can be encouraged; and
 4. Identify and put in place actions to improve the interactions between UMSOs and Elexon with involvement from the Operational Support Managers (OSMs). In addition OSMs can also help to address the concern around any gaps in knowledge that have been identified as well as improving industry engagement.
- 2.2 The PAB had some reservations regarding the two recommendations proposing changes to the procedure documents for inserting timescales and processes into [BSCP520, Unmetered Supplies Registered in SMRS](#):
5. Investigate the appropriateness for the development of a Change Proposal (CP) to update timeframes for Suppliers updating Supplier Meter Registration Agents (SMRA) into BSCP520 to mirror [BSCP501, Supplier Meter Registration Service](#); and
 6. Investigate the appropriateness for the development of a CP to create timescales in BSCP520 for the management of the D0052³/D0310 dataflow exchange process.
- 2.3 These recommendations had been presented to the PAB as two changes to formally progress. However, the PAB wanted to be certain of the benefits of making the proposed changes before it could fully endorse their progression. Therefore, these recommendations were approved as written above – to conduct investigations into the appropriateness of these changes, rather than for an immediate progression of the changes themselves.
- 3. Report recommendations and UMSUG comments**
- 3.1 At the time of writing, the UMSUG meeting on 22 September 2021 had not yet taken place. Therefore, an update on the discussion at the UMSUG meeting will be presented verbally at the SVG meeting, along with any further actions.
- 4. Recommendations**
- 4.1 The SVG is invited to:
- a) **NOTE** the findings of the check;
 - b) **NOTE** the update from the UMSUG; and
 - c) **COMMENT** on the recommendations outlined within the Risk 11 Technical Assurance report.

Attachments

Attachment A – Risk 11 Technical Assurance report

For more information, please contact:

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² D0310 [Notification of Failure to load or receive Metering System Settlement Details](#)

³ D0052 [Affirmation of Metering System Settlement Details](#)