

## Risk 11 Technical Assurance Check

### Unmetered Supplies Users Group

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Owner/author	<b>Beth Procter</b>	Purpose of paper	<b>Information</b>
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**Summary**      **A Technical Assurance of Performance Assurance Parties (TAPAP) check was performed on a selection of Unmetered Supplies Operators (UMSOs) under a scope of testing for Risk 11, Unmetered Supplies. This paper presents these findings along with comments from the PAB, and asks the UMSUG to provide its own comments regarding the recommendations.**

### 1. Risk 11, Unmetered Supplies Technical Assurance of Performance Assurance Parties (TAPAP) Check

<b>Risk No:</b>	<b>R011</b>
<b>Risk detail:</b>	The risk that Unmetered Supply volumes are calculated incorrectly or not at all resulting in erroneous or missing data in Settlement.
<b>Risk category:</b>	Data retrieval and processing

- 1.1 Each year, the Performance Assurance Board (PAB) deploys the Performance Assurance Framework (PAF) to manage Settlement Risks. To do this, the PAB identify, evaluate and prioritise the risks that may occur within Settlement and the extent to which they apply to each Performance Assurance Party (PAP). The PAB applies Performance Assurance Techniques (PATs) to PAPs based on the risk they pose to Settlement. This is published in the Risk Operating Plan (ROP)<sup>1</sup>.
- 1.2 For the 2019/20 BSC year, one of the risks that was identified by the PAB as being a focus of work under the PAF was subject to this Technical Assurance check. This check was originally planned to be completed in the 2019/20 Performance Assurance Operating Period (PAOP) but was delayed due to the COVID-19 pandemic lockdowns imposed by the UK government.
- 1.3 The TAPAP technique began operating again from Q4 2020, but only where Parties were able to facilitate the checks and with the consideration of prioritising BAU and the other BSC related audits that were due to take place (the Line Loss Factor Audit and Annual BSC Audit).
- 1.4 Elxon has now completed all audits that were scheduled for the Risk 11 TAPAP check. The collated findings were presented to the PAB at its August 2021 meeting ([PAB247/04](#)). This paper presents these findings along with comments from the PAB, and asks the UMSUG to provide its own comments regarding the recommendations.

### 2. Report recommendations and PAB comments

- 2.1 The PAB noted the findings and approved the following recommendations from the report:
  1. Subject specific documents and the Elxon website will be reviewed in line with the findings and feedback received from the checked Parties about the process;

<sup>1</sup> The ROP can be found on the Elxon website along with other [Performance Assurance Framework Documents](#).

2. Creation of a contact list specifically for Unmetered Supplies (UMS) for UMSOs, Suppliers and Data Collectors (DCs) to help with error resolution D0310s<sup>2</sup> and Material Error Monitoring (MEM) report exception resolution;
3. Elexon will look into how Supplier membership at UMSUG can be encouraged; and
4. Look at improving the interactions between UMSOs and Elexon with involvement from the Operational Support Managers (OSMs). In addition OSMs can also address the concern around any gaps in knowledge that have been identified as well as improving industry engagement.

2.2 However, the PAB had some reservations regarding the two recommendations proposing changes to the procedure documents for inserting timescales and processes into [BSCP520, Unmetered Supplies Registered in SMRS](#):

5. Investigate the appropriateness for the development of a Change Proposal (CP) to update timeframes for Suppliers updating Supplier Meter Registration Agents (SMRA) into BSCP520 to mirror [BSCP501, Supplier Meter Registration Service](#); and
6. Investigate the appropriateness for the development of a CP to create timescales in BSCP520 for the management of the D0052<sup>3</sup>/D0310 dataflow exchange process.

2.3 These recommendations had been presented to the PAB as two changes to progress, however the PAB wanted to be certain of the benefits of making the proposed changes before it could fully endorse their progression. Therefore, these recommendations were approved as written above – to conduct investigations into the appropriateness of these changes, rather than for an immediate progression of the changes themselves.

### 3. Recommendations

3.1 The UMSUG is invited to:

- a) **NOTE** the findings of the check; and
- b) **COMMENT** on the recommendations outlined within the Risk 11 Technical Assurance report.

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## Attachments

Attachment A – Risk 11 Technical Assurance report

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### For more information, please contact:

Beth Procter, Technique Manager

[beth.procter@elexon.co.uk](mailto:beth.procter@elexon.co.uk)

020 7380 4189

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<sup>2</sup> D0310 [Notification of Failure to load or receive Metering System Settlement Details](#)

<sup>3</sup> D0052 [Affirmation of Metering System Settlement Details](#)