

#	PAT	KPI	Current Status (Mar 2018)	Previous Status (Dec 2017)	Previous Status (Sep 2017)	Frequency	A	Measure	Quantification	Current Status (March 2018)	Previous Status (December 2017)	Previous Status (September 2017)
1	Qualification	Potential risk to industry due to newly qualified parties				Quarterly	A	Number of Material (at Party or industry level) Settlement impacting issues identified in newly qualified entities during first year of live operation from first appointment.	Green: A/R criteria not met Amber: >2 and increase of >50% since last quarter Red: >3 and increase of >100% since last quarter	0	0	0
		Volume of Qualification Activity					B	Number of planning meetings/catch up meetings (to explain the process of Qualification) each quarter.	Green: >0 Red: =0	6	7	4
2	Re-qualification	Volume of Re-qualification Activity				Quarterly	A	Number of Re-qualification planning meetings.	Green: >0 Red: =0	3	0	0
3	Bulk Change of Agent	BCoA Applications completed successfully	n/a	n/a	n/a	Ad hoc	A	Number of BCoA Applications completed successfully.	For information.	0	0	0
4	Education	BSC Parties make good use of the Education PAT				Quarterly	A	Number of training sessions provided.	Green: A/R criteria not met Amber: Less than 50% of previous quarter Red: =0	19 emails over 7 sessions	12 topics over 5 sessions	26 Topics over 11 Sessions
		ELEXON provides enough guidance					B	Number of guidance notes created/updated.	Green: >0 Red: =0	2	6	5
5	Performance Reporting and Monitoring	PARMS and Settlement Risk reporting is delivered on time and in a consistent manner	n/a	n/a	n/a	Quarterly	A	Number of queries received/advice sought on PARMS submissions.	For information.	29	24	31
							B	Performance against all top risks reported on time and in a consistent manner.	Green: Yes Red: No	Yes	Yes	Yes
6	Technical Assurance of Metering Systems	Measure of material non-compliances identified during TAM main and specific sample site visits.				Quarterly	A	Number of Category 1 non-compliances identified.	Green: A/R criteria not met Amber: >5 and increase of >50% since last quarter Red: >5 and increase of >100% since last quarter OR if 0	10	3	11
		Parties are cooperating with the TAA effectively					B	Number no access visits.	Green: <8% of total visits Amber: 8% to 10% of total visits Red: >10% of total visits	No Access 69 Total 559	No Access 22 Total 87	No Access: 21 Total: 310
							C	Number of Category 1 Non-Compliances that are more than 6 months old.	Green: =0 OR fewer than previous quarter Amber: No change since previous quarter Red: Increase since previous quarter	9	6	5
7	BSC Audit	No delays in issuing BSC Audit deliverables, e.g. Auditor's approach, Audit Scope, Auditor's opinion				Quarterly	A	All deliverables issued on time in the last quarter (no delays).	Green: Yes Red: No	Yes	Yes	Yes
8	Technical Assurance of Performance Assurance Parties	TAPAP scope of work is relevant and in line with current issues and any other information received from PAPS, other PAP techniques, the BSC Auditor, BSCCo and the PAA.	n/a	n/a		Ad hoc	A	All TAPAP scope recommendations approved.	Green: Yes Red: No	No papers presented this quarter.	No papers presented this quarter.	Paper presented to PAB on issues around Meter Technical Details (MTDs) to finalise the scope for the check. PAB have approved the check. Scope.
		TAPAP work is delivered on time. (BSCP535 timescales are met)					B	BSCP535 - Technical Assurance deadlines have been met.		Yes	We have provided 15 MPID TAPAP notifications that were due to be sent this quarter following the engagement with the relevant Parties chosen for this check. All were done within the timescales stated in BSCP535.	We have already started to engage with the relevant Parties via the OSM who will be checked for the MTD check. This is far in advance of the requirements for notification of checks under BSCP535.
		TAPAP work delivered to a good quality and standard	n/a	n/a	n/a		C	All TAPAP findings recommendations approved.		None presented so not applicable this quarter.	None presented so not applicable this quarter.	None presented so not applicable this quarter.
		Positive feedback received from customers on TAPAP process.	n/a	n/a			D	Feedback survey completed by each checked PAP. Results should reflect a good customer experience as well as ELEXON standing as subject matter experts for the scope of the check.		Not yet due: No feedback request sent yet.	Not yet due: No feedback request sent yet.	Feedback was not requested for any of the more recent individual check because the PAF review had already recently been to industry to obtain feedback on
9	Peer Comparison	All Peer comparison reports are produced on time, to quality and standard				Quarterly	A	Percentage of graphs in the Peer Comparison validated and issued.	Green: =100% Red: <100%	100%	100%	100%

10	Breach and Default	Number of authorised credit defaults and Section H Defaults.	Red	Red	Red	Quarterly	A	Number of authorised credit defaults	Green: =0 Red: >0	2	3	2
			Red	Green	Green		B	Number of Section H Defaults	Green: =0 Red: >0	1	None	None
11	Supplier Charges	All Supplier Charges reports are produced on time, to quality and standard	n/a	n/a	n/a	Quarterly	A	Number of queries received from BSC Parties.	For information.	11	8	14
			Green	Green	Green		B	Supplier Charges reported to time, quality and in a consistent manner.	Green: Yes Red: No	Yes	Yes	Yes
12	Error and Failure Resolution	EFR is switched on after agreed triggers	Green	Green	Green	Quarterly	A	EFR turned on for medium and high audit issue after final audit issue documents sent to Parties or provide explanation in the TPR.	Green: Yes Red: No	Yes	Yes	Yes
			Green	Green	Green		B	EFR turned on after 3 red BUSRRs or provide explanation in the TPR.		Yes	Yes	Yes
			Green	Green	Green		C	EFR turned on for all required TAA or TAPAP non-compliances.		Yes	Yes	Yes
		Green	Green	Green	D		EFR plans are reviewed within the required timescale.	Yes		Yes	Yes	
		Green	Green	Green	E		ELEXON escalates on the appropriate trigger or provides rationale of why escalation is not appropriate.	Yes		Yes	Yes	
		Red	Green	Green	F		ELEXON switches off EFR in a timely manner once party completes all agreed milestones and exit criteria.	ELEXON has become aware that data used to complete AMR TAPAP EFR exit checks was not complete. ELEXON is undertaking further analysis into transfer of D0313 Auxiliary Meter Technical Details flow and will present a paper to the PAB at a future meeting.		Yes	Yes	
13	Trading Disputes	ELEXON has all the information to raise a Trading Dispute and process it on time and to a good quality and standard	Green	Red	Yellow	Quarterly	A	Trading Disputes are rectified before an Extra-Settlement Determination is required.	Green: No issues Amber: Issue(s) unavoidable and/or reasonable explanation. Red: Issue(s) could have been avoided and/or unreasonable explanation.	None	DA851 - An error in the Meter Technical Details (MTD) caused the metered data of an embedded hydroelectric power station to be mistakenly amended to zeroes. DA879 - Rectification for an upheld Trading Dispute (DA814) was obstructed due to incorrect agent data being used for the Post Final Settlement Run (DF). This dispute was subsequently raised to compensate a Party as it did not receive the corrected Trading Charges that were due to them at the DF.	1 (DA800 - A Supplier amended consumption data at the Post Final Settlement Run (DF) without an approved Trading Dispute. The only method of rectification for DF errors is an ESD calculation)
			Green	Green	Green		B	The instances a Party has missed the 5 WD deadline for providing all information required following a BSCP11/03 request.	None	None	None	
			Red	Green	Red		C	Percentage of Trading Disputes for which the TDC reach the decision without needing additional information (no deferred papers).	Green: =100% Red: <100%	92% - 1 Trading Dispute deferred (DA887) as the TDC requested further clarification on the error's material impact on BSC Parties' Trading Charges. Dispute was subsequently upheld at the March TDC meeting.	100%	95% - 1 Trading Dispute deferred (DA840) due to TDC requiring further assessment of MAP08 impacts on the BSC process upon reads being disputed on Change of Supplier. MAP08 process lies outside of the BSC remit and thus further investigation was required. Dispute was subsequently upheld at the October TDC meeting.